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| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 09/896,682 | |
| | Filing Date | Jun 29, 2001 | |
| | First Named Inventor | Gao, Yang | |
| | Group Art Unit | Unknown | |
| | Examiner Name | Unknown | |
| Total Number of Pages in This Submission | 5 | Attorney Docket Number | 01CON303P |

| ENCLOSURES (check all that apply) | | |
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| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Postcard |
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| Firm or Individual name | Jonathan Velasco, Esq. Reg. No. 42200 Farjami & Farjami LLP |
| Signature | |
| Date | August 23, 2002 |

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| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: August 26, 2002 | | | |
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Gao, et al.

Group Art Unit:

Application Serial No.: 09/896,682

Examiner:

Filed: June 29, 2001

Title: Coding Based on Spectral Content
of a Speech Signal

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PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

This Preliminary Amendment is submitted with regard to the above-referenced patent application. Please enter the following amendments.

Please change the Attorney Docket No. from "10932/165" to --01CON303P--.

In the Claims:

Please cancel claims 2 and 11, and amend claims 1 and 10 as follows: